PTO/SB/21 (09-04) APR 1 0 2007 Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the P work Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Number 10/678,698 TRANSMITTAL Filing Date 10/03/2003 First Named Inventor **FORM** Scott Miles Art Unit 3763 **Examiner Name** Unknown (to be used for all correspondence after initial filing) Attorney Docket Number 0905.ZEVX.DV2.CN Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance Communication to TC Fee Transmittal Form Drawing(s) Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC Amendment/Reply Petition (Appeal Notice, Brief, Reply Brief) Petition to Convert to a After Final Proprietary Information Provisional Application Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please Identify Terminal Disclaimer Extension of Time Request below): Return Postcard Request for Refund Express Abandonment Request CD, Number of CD(s) Information Disclosure Statement Landscape Table on CD Certified Copy of Priority Remarks Document(s) The Commissioner is hereby authorized to credit any overpayment or debit any amount owing to Reply to Missing Parts/ Deposit Account No. 502720. Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Name BATEMAN IP LAW GROUP Signature Printed name andall B. Bateman Date Reg. No. 37.774

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Signature

the date shown below:

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Randall B. Bateman

Date

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



ให่ THE UNITED STATES PATENT AND TRADEMARK OFFICE

ARREIGANT:

Scott Miles et al.

SERIAL NO.:

10/678,698

FILING DATE:

October 3, 2003

TITLE:

APPARATUS AND METHOD

FOR PREVENTING FREE FLOW

IN AN INFUSION LINE

ART UNIT:

3763

EXAMINER:

Unknown

DOCKET NO.:

0905.ZEVX.DV2.CN

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

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Randall B. Barer

Date of Deposit

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)

Mail Stop Amendment Commissioner of Patents P. O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. § 1.98(a)(1), enclosed is Form PTO/SB/08A, substitute for form PTO/1449, which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). Applicant respectfully submits that this Information Disclosure Statement is filed, pursuant to 37 C.F.R. § 1.97 (b), within three months of the filing date of the application, within three months of the date of entry of the national stage set forth in § 1.491or before the mailing date of a first Office action on the merits. Applicant requests that the references cited in the enclosed form PTO/SB/08A be made of record in the above-captioned application. While no representation is made that any of the references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the references listed, together with any other references which may have been previously submitted or listed, are the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no reference which is more relevant to the claimed invention (taken in its entirety) has been knowingly withheld.

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each listed foreign reference or relevant portion thereof is also enclosed. Any listed reference that is not in the English language is accompanied by a concise explanation in English of its relevance as required under 37 C.F.R. § 1.98(a)(3)(i).

Please charge any additional fees or credit any overpayment to Deposit Account No. 502720.

DATED this ____ day of April,2007.

Respectfully submitted,

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PTO/SB/08A (08-03)

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Substitute for form 1449/PTO

APR 1 0 2007

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

of Sheet

Complete if Known			
Application Number	10/678,698		
Filing Date	10/03/2003		
First Named Inventor	Scott Miles		
Art Unit	3763		
Examiner Name	Unknown		
Attorney Docket Number	0905.ZEVX.DV2.CN		

U.S. PATENT DOCUMENTS					
Examiner Initials	Cite No.1	Document Number Number-Kind Code ^{2 (IT Known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US 4,932,938	06-12-1990	Jay R. Goldberg et al.	
		US 5,556,386	09-17-1996	Robert J. Todd	
		US 2002-127,708	09-12-2002	Bryan W. Kluttz et al.	
			 		
			 		
			 		
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		FOREIG	N PATENT DOCU	MENTS		
Examiner Initials	Cite No.1	Foreign Patent Document Country Code ³ Number Code ⁴ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Т6
		WO 96-17,636	06-13-1996	A.G. Arcomed et al.		
		EP 0 276 356	08-03-1988	Schulte Pudenz et al.		
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Examiner Signature		Date Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. sKind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. s Applicant is to place a check mark here if English language Translation is attached.

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